

FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT(S) INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.
JPM-002SERIAL NO.
(not yet filed)APPLICANT
Chhabra, et al.

FILING DATE

GROUP ART UNIT

3624

JC869 U.S. P 09/629589



07/31/00

U.S. PATENT DOCUMENTS

EXAM'R INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
✓		6,021,397	2/1/00	Jones et al.			
✓		6,012,044	1/4/00	Maggioncalda et al.			
✓		5,918,217	6/29/99	Maggioncalda et al.			
✓		5,940,810	8/17/99	Traub et al.			

FOREIGN PATENT DOCUMENTS

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO

OTHER DOCUMENTS (Include Author, Title, Date, Pertinent Pages, etc.)

✓	Support FAQ's, (visited 6/19/00), < http://www.financialengines.com.html >.
✓	Meet Our Management, < http://www.financialengines.com/company/team.html >.
✓	Glossary Of Finance Terms, < http://www.financialengines.com/www/html/help/glossary.html >.
✓	How Does the Advisor Pick Mutual Funds?, < http://app.financialengines.com/www/html/experts/howtopickmutualfunds.html >.
✓	How Much Money Will I Need For Retirement?, < http://app.financialengines.com/www/html/experts/howmuchmoneyforretirement.html >.
✓	How Much Risk Should I Take?, < http://app.financialengines.com/www/html/experts/howmuchrisk.html >.
✓	Cross Section Of An Economic Scenario, < http://app.financialengines.com/www/html/help/economicscenario.html >.

EXAMINER

DATE CONSIDERED

6/20/02

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with the next communication to Applicant(s).

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	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

OTHER DOCUMENTS (Include Author, Title, Date, Pertinent Pages, etc.)

<i>SH</i>	Estimating Your Annual Retirement Income, (visited 6/19/00), < http://app.financialengines.com/www/html/help/annuitization.html >
<i>SH</i>	Bringing It All Together, (visited 6/19/00), < http://app.financialengines.com/www/html/help/bringittogether.html >
<i>SH</i>	Subscribe To Advice, (visited 6/19/00), < http://app.financialengines.com/www/html/help/canidobetter.html >

EXAMINER

Shay Puri

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